



Complaints & Grievances Policy

Policy Statement

Feedback from families, educators and the wider community is fundamental in creating an evolving Out of School Hours Care Service working towards the highest standard of care and education.

It is foreseeable that feedback will include divergent views, which may result in complaints. This Policy details our service's procedures for receiving and managing informal and formal complaints. Parents, educators, visitors, students and members of the community can lodge a grievance or complaint, with the understanding that it will be managed conscientiously and confidentially.

Purpose

The *Education and Care Services National Regulations* requires approved providers to ensure their services have policies and procedures in place for dealing with complaints (regulation 168) and take reasonable steps to ensure those policies and procedures are followed (regulation 170).

We aim to investigate all complaints and grievances with a high standard of equity and fairness. We will ensure that all persons making a complaint are guided by the following policy values:

- procedural fairness and natural justice
- code of ethics and conduct
- culture free from discrimination and harassment
- transparent policies and procedures
- opportunities for further investigation
- adhering to our Philosophy

Procedural Fairness and Natural Justice

Ridgehaven OSHC believes in procedural fairness and natural justice that govern the strategies and practices, which include:

- The right to be heard fairly
- The right to an unbiased decision made by an objective decision maker
- The right to have the decision based on relevant evidence.

Scope

This policy applies to children, families, educators, approved provider, nominated supervisor, students, volunteers and visitors of the service.

Policy Implementation

Grievances and complaints can transpire in any workplace. Addressing them appropriately and effectively is imperative for sustaining a safe, healthy, harmonious and productive-service environment. *Our Dealing with Complaints Policy* ensures that all persons are presented with procedures that:

- value the opportunity to be heard
- promote conflict resolution
- encourage the development of harmonious partnerships
- ensure that conflicts and grievances are mediated fairly
- are transparent and equitable

- appropriately handle children exhibiting harmful sexual behaviours
- promote children's rights, safety and wellbeing
- consider a child's age, cultural, developmental and additional needs

Ridgehaven OSHC is committed to the National Principles for Child Safe Organisations and adopts a child safe approach to complaints involving a child or young person. As a child safe organisation, we will respond promptly and systematically to any concerns, disclosures, allegations or suspicions while fostering an environment where children feel confident that their safety and wellbeing are paramount.

Definitions

Complaint: Expression of dissatisfaction made to or about an organisation related to its products, services, staff or the handling of a complaint where a response or resolution is explicitly or implicitly expected or legally required.

Complaints and Grievances Management Register: Records information about complaints and grievances received at the service, along with the outcomes. This register includes documents that must be securely stored, accessible only to educators and regulatory authority. They can provide valuable information to the approved provider and nominated supervisor of the service to ensure children and family's needs are being met.

Grievance: A grievance is a formal statement of complaint that cannot be addressed immediately and involves matters of a more serious nature.

Mediator: A person who attempts to assist and support people involved in a conflict to come to an agreement.

Mediation: An attempt to bring about a peaceful settlement or compromise between disputants through the objective intervention of a neutral party.

Notifiable complaint: A complaint that alleges a breach of the *Education and Care Services National Law and Regulations*, National Quality Standard or alleges that the health, safety or wellbeing of a child at the service may have been compromised. Any complaint of this nature must be reported by the approved provider or nominated supervisor to the Department of Early Childhood Education and Care within 24 hours of the complaint being made.

If the approved provider/nominated supervisor are unsure whether the matter is a notifiable complaint, it is good practice to contact the Regulatory Authority for confirmation. Written reports must include:

- details of the event or incident
- the name of the person who initially made the complaint
- if appropriate, the name of the child concerned and the condition of the child, including a medical or incident report (where relevant)
- contact details of a nominated member of the service (or nominated supervisor)
- any other relevant information.

Written notification of complaints must be submitted using the appropriate forms, which are found on the ACECQA website and logged using NQA ITS (National Quality Agenda IT System).

Serious Incident: An incident resulting in the death of a child, or an injury, trauma or illness for which the attention of a registered medical practitioner, emergency services or hospital is sought or should have been sought. This also includes an incident in which a child appears to be missing, cannot be accounted for, is removed from the service in contravention of the Regulations or is mistakenly locked in/out of the service premises.

A serious incident will be documented in an *Incident, Injury, Trauma and Illness Record* as soon as possible and within 24 hours of the incident. The regulatory authority must be notified within 24 hours of a serious incident occurring at the service.

These records are required to be retained for the periods specified in Regulation 183.

The approved provider will notify the regulatory authority of any incident where there is a reasonable belief that physical and/or sexual abuse of a child has occurred or is occurring at the service, or any allegation that sexual or physical abuse of a child has occurred or is occurring at the service.

Privacy and Confidentiality

Management and educators will adhere to our *Privacy and Confidentiality Policy* when dealing with grievances and complaints. However, if a grievance or complaint involves a staff member or child protection issues, a relevant government agency will need to be informed.

Conflict of Interest

It is important for the complainant to feel confident in:

- being heard fairly
- an unbiased decision-making process

Should a conflict of interest arise during a grievance or complaint that involves the approved provider or nominated supervisor, other management will be nominated as an alternative mediator.

The service may also engage the resources of an Independent Conflict Resolution Service to assist with the mediation of a dispute. All parties will ensure that throughout the conflict resolution process, the Services Code of Conduct is being adhered to.

The Governing Council/OSHC Management Committee/Nominated Supervisor/Director/Responsible Person will

- ensure that obligations under the *Education and Care Services National Law and Regulations* are met
- ensure educators, placement students, visitors and volunteers have knowledge of and adhere to this policy and associated procedure
- ensure the name and telephone number of the person to whom complaints can be made is clearly visible at the service
- ensure information about our *Complaints & Grievances Policy* and *Complaints & Grievances Procedures* and all appropriate forms are easily accessible to all families, children, educators, visitors and volunteers
- treat all grievances and complaints seriously and as a priority
- ensure grievances and complaints remain confidential
- ensure grievances and complaints reflect procedural fairness and natural justice
- ensure people feel safe or comfortable when making a complaint, including children
- ensure educators, volunteers and students are well informed about the different ways children may express concerns, distress and disclose harm as well as the process for responding to disclosures from children- including a complaint that alleges a child is exhibiting sexual behaviours that may be harmful to the child or another child.
- ensure the service's complaint handling processes are child-focused providing support and guidance for children to know who to talk to if they are feeling unsafe
- conduct a review of policies and procedures, where required, following a complaint or grievance as part of our continuous improvement practices
- provide an induction program for new educators that includes an overview of policies and procedures, including this *Complaints & Grievances Policy* and procedure
- ensure the approved provider is notified of all complaints and grievances
- acknowledge the complaint or grievance in writing within 2 working days of receipt
- discuss the issue with the complainant within 24 hours of receiving the verbal or written complaint
- investigate and document the grievance or complaint fairly and impartially
- provide details of an outcome following an investigation if required.

The investigation will consist of:

- reviewing the circumstances and facts of the complaint (or breach) and inviting all affected parties to provide information where appropriate and pertinent.
- discussing the nature of the complaint (or breach) and giving the accused educator, volunteer or visitor an opportunity to respond.
- permitting the accused person to have a support person present during the consultation (for example: Union Representative, HR Representative, lawyer, colleague, friend or family member. A support person may provide support by taking notes during the meeting, clarifying questions and allegations made, help formulate responses, engage in discussions and are more than a passive observer, aid in understanding processes, request breaks and be an emotional support. A support person cannot represent the employer, speak on their behalf or advocate for the organisation.

- providing the employee with a clear written statement outlining the outcome of the investigation.
- advise the complainant and all affected parties of the outcome within 7 working days of receiving the verbal or written complaint
 - management will provide a written response outlining the outcome and provide a copy to all parties involved
 - if a written agreement about the resolution of the complaint is prepared, all parties will ensure the outcomes accurately reflect the resolution
 - all written responses will need to cater for complainant to be able to understand such as spoken language and special needs regarding reading
- should management decide not to proceed with the investigation after initial enquiries, a written notification outlining the reasoning will be provided to the complainant
- keep appropriate records of the investigation and outcome and store these records in accordance with our *Privacy and Confidentiality Policy* and *Record Keeping Policy*
- monitor ongoing behaviour and provide support as required
- ensure the parties are protected from victimisation and bullying
- track complaints to identify recurring issues within the service
- notify the regulatory authority within 24 hours if a complaint alleges the safety, health or wellbeing of a child is being compromised. Notification must include any incident where there is a reasonable belief that physical and/or sexual abuse of a child has occurred or is occurring at the service or any allegation that sexual or physical abuse of a child has occurred or is occurring at the service.

Educators will

- report all complaints received to the nominated supervisor/Director, and/or Line Manager within required timeframes
- listen to the complainants view of what has happened
- clarify and confirm the grievance or complaint, documenting all the facts prior to the investigation
- encourage and support the complainant to seek a balanced understanding of the issue
- discuss possible resolutions available to the-complainant. These would include external support options.
- encourage and assist the complainant to determine a preferred way of solving the issue
- record the meeting, confirming the details with the family at the end of the meeting
- maintain confidentiality at all times
- refer complainant (as necessary) to service policies that may assist in resolving the grievance or complaint
- be informed about the different ways children can express concerns or distress and disclose harm
- be aware of child protection law and their individual responsibilities as mandatory reporters/notifiers
- ensure children know who to talk to if they are feeling unsafe and know the process that will happen to support them

If the grievance cannot be resolved, it is to be referred to the nominated supervisor/Director who will investigate further:

- if appropriate, collect relevant written evidence. This evidence will be treated in strict confidence and will be held in a secure place
- involve the school Principal or nominated supervisor/Director in the conflict resolution as required
- should it be necessary to interview relevant people concerning the grievance, their involvement should be kept to the minimum necessary to establish the facts
- third parties providing evidence must also be made aware that the matter is to be kept confidential.

Should the grievance or complaint be lodged against another person(s), these persons will be interviewed separately and impartially. Individuals must be given the opportunity to respond fully to the allegations and may have another person present, as a support person, if they wish. If after investigation, it is concluded that the grievance is substantiated:

- both parties will be told of the decision and the reason for it.
- immediate and appropriate steps will be taken to prevent the grievance from recurring.
- if after investigation, it is concluded that the grievance is not substantiated both parties will be notified of the decision and the reason.
- the complainant will be informed that if they are not satisfied with any decision relating to the grievance procedure. that they should consult with an external body for further advice such as the regulatory authority.
- if the grievance or complaint is of a serious nature, or there is a reasonable belief the complaint is any allegation of sexual or physical abuse the nominated supervisor is responsible to inform the regulatory authority within 24 hours.

Complainants will

- be informed of our duty of care to ensure that all persons are provided with a high level of equity and fairness in relation to the management of complaints. The complaints procedure ensures a fair opportunity for all stakeholders to be heard and promotes effective conflict resolution within our service
- ensure children are able to express their concerns or allegations to either the management, educators, and/or families who are encouraged by management to complete the *Complaints Form* on the child's behalf
- attempt to discuss their grievances/complaints with the relevant educator (this will usually be the nominated supervisor/Director or Responsible person) as the first step to resolving the issue, unless it is a reportable offence to the regulatory authority to be made with 24 hours of complaint
- communicate any concerns they may have in writing addressed to the Governing Council or nominated supervisor/Director
- raise any unresolved concerns with the Governing Council or nominated supervisor/Director
- maintain confidentiality at all times
- be provided with details of external agencies to contact should they feel the service has not resolved their concerns (e.g., regulatory authority)

Complaints Relating to the Administration of Child Care Subsidy

Families who wish to raise concerns regarding the management of Child Care Subsidy should speak with the nominated supervisor/Director in the first instance. The nominated supervisor/Director will follow the steps as outlined in this policy, including advising the Governing Council of all grievances.

Families can raise concerns regarding management of the Child Care Subsidy to the Department of Education via their online contact form. Additionally, information about any potential breach of Child Care Subsidy can be reported anonymously by submitting an online report directly to the Department of Education.

Complaints Involving Allegations of a Child Exhibiting Sexual Behaviours

'Providers and educators play an important role in making informed professional judgements regarding sexualised behaviours involving children. Not all sexual behaviour involving children poses a risk to their safety.' (ACEQA, 2024).

The Governing Council will ensure:

- educators respond to any complaint that alleges a child is exhibiting sexual behaviours that may be harmful to the child or another child
- educators assess the need for urgent police and emergency services assistance and inform the Governing Council, Principal and nominated supervisor/Director
- the regulatory authority is notified within 24 hours of any complaint alleging that a serious incident has occurred whilst a child is educated and cared for or complaints alleging that the Law has been contravened.
- educators are aware of the process for responding to disclosures from children as per the *Child Protection Policy*
- educators are aware of their duty of care and mandatory reporting obligations to make a report to (ECARL– Child Abuse Report Line)
- educators have a sound understanding of developmentally appropriate sexual development in children and sexual behaviour that may be concerning and requires a response
- educators engage in professional learning to promote a consistent and appropriate approach to identifying and responding to sexual behaviours in children that may include:
 - age and developmental capacity of the child/children
 - reasons why a child may be behaving in sexually harmful ways
 - behavioural history of the child
 - how the behaviour impacts the behaviour of other children
 - risk the behaviour imposes on others
 - vulnerability of the child to be engaging in harmful sexual behaviour

procedures for supporting all stakeholders during the complaint procedure are implemented including documenting discussions, ensuring confidentiality and providing information of the progress of the complaint and access to support agencies as required

- educators follow guidance from the Traffic Lights Framework to manage the concern or complaint
 - RED- signals sexual behaviours which indicate immediate intervention and action
 - ORANGE- signals sexual behaviour which may be concerning and educators to take notice and gather information to assess appropriate action
 - GREEN- signals sexual behaviours that are ‘normal’ and age appropriate
(Traffic Lights Framework-Age-appropriate Sexual Play and Behaviour in Children)

Continuous Improvement and Evaluation

Complaints provide the service with opportunities for learning and improvement. We encourage regular and ongoing feedback from educators, children and families and the community. Ridgehaven OSHC is committed to resolving complaints through prompt investigation, open communication, and transparent processes. Our *Complaints & Grievances Policy* will be updated and reviewed annually in consultation with families, children, educators and management.

To ensure complaints and grievances are handled appropriately, the Governing Council/nominated supervisor/Director will:

- evaluate each individual complaint and grievance as recorded in the *Complaints and Grievance Management Register* to assess that a satisfactory resolution that has been achieved
- review complaints and grievances as recorded in the *Complaints and Grievance Management Register* to ensure a pattern of similar grievances is not occurring
- review the effectiveness of the service policy and procedures to ensure all complaints and grievances have been handled fairly and professionally
- consider feedback from educators and families, children and community regarding the policy and procedure.

Sources

- Australian Children’s Education & Care Quality Authority. (2014).
- Australia Children’s Education & Care Quality Authority. (2024). *Guide to the National Quality Framework*
- Australian Children’s Education & Care Quality Authority. (2023). *Using Complaints to support continuous improvement.*
- Australian Children’s Education & Care Quality Authority. (2023). *Sexualised behaviour involving children.*
- Australian Government Department of Education. *Child Care Provider Handbook* (Amended 2023)
- Australian Human Rights Commission: <https://www.humanrights.gov.au>
- Child Speak. *Traffic Lights Framework. Age Appropriate Sexual Play and Behaviour in Children.*
- Education and Care Services National Law Act 2010. (Amended 2023).
- Education and Care Services National Regulations. (Amended 2023).
- Fair Work Australia: <https://www.fairwork.gov.au/>
- Queensland Government- Guide for effective complaints management
- Western Australian Legislation *Education and Care Services National Law (WA) Act 2012*
- Western Australian Legislation *Education and Care Services National Regulations (WA) Act 2012*

National Quality Standard

QUALITY AREA 6: COLLABORATIVE PARTNERSHIPS		
6.1	Supportive relationships with families	Respectful relationships with families are developed and maintained and families are supported in their parenting role.
6.1.2	Parent views are respected	The expertise, culture, values and beliefs of families are respected and families share in decision-making about their child’s learning and wellbeing.
6.2	Collaborative partnerships	Collaborative partnerships enhance children’s inclusion, learning and wellbeing.
QUALITY AREA 7: GOVERNANCE AND LEADERSHIP		
7.1.2	Management Systems	Systems are in place to manage risk and enable the effective management and operation of a quality service
7.2.1	Continuous Improvement	There is an effective self-assessment and quality improvement process in place.

Education and Care Services National Regulations

LEGISLATIVE REQUIREMENTS / EDUCATION AND CARE SERVICES NATIONAL REGULATIONS	
Sec. 172	Offence to fail to display prescribed information
Sec.174	Offence to fail to notify certain information to Regulatory Authority
168(2)(o)	Education and care service must have policies and procedure... for dealing with complaints
173(2)(b)	Requires an approved provider to make the name and telephone number of the person to whom complaints may be addressed clearly visible at the service
176	Time to notify certain information to Regulatory Authority
183	Storage of records and other documents

Review

POLICY REVIEWED BY	Kerri Cook – in conjunction with Ridgehaven School Governing Council	Nominated Supervisor/Director	FEBRUARY 2025
POLICY REVIEWED	FEBRUARY 2025	NEXT REVIEW DATE	AUGUST 2025
MODIFICATIONS	• policy review after grievance received – no changes made		
POLICY REVIEWED	PREVIOUS MODIFICATIONS		NEXT REVIEW DATE
AUGUST 2024	• policy maintenance - no changes made		AUGUST 2025
AUGUST 2023	• policy maintenance - no changes made		AUGUST 2024
AUGUST 2022	• links to NQS, National regulations added		AUGUST 2023
AUGUST 2021	• minor editing • no changes made to context of policy		AUGUST 2022
AUGUST 2020	• no changes made		AUGUST 2021